



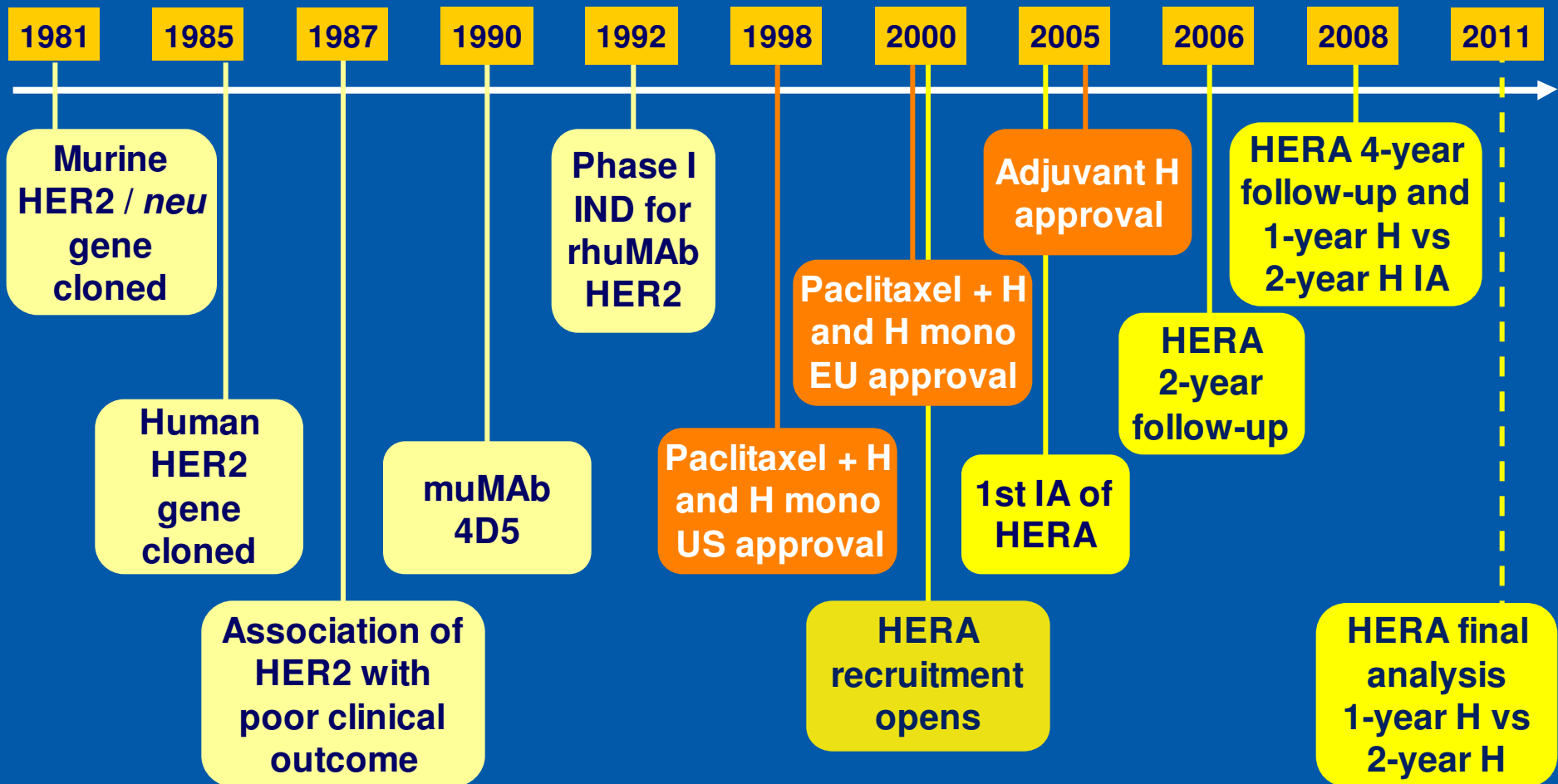
**Sustained benefits for women with
HER2-positive early breast cancer**

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BIG GOCCHI

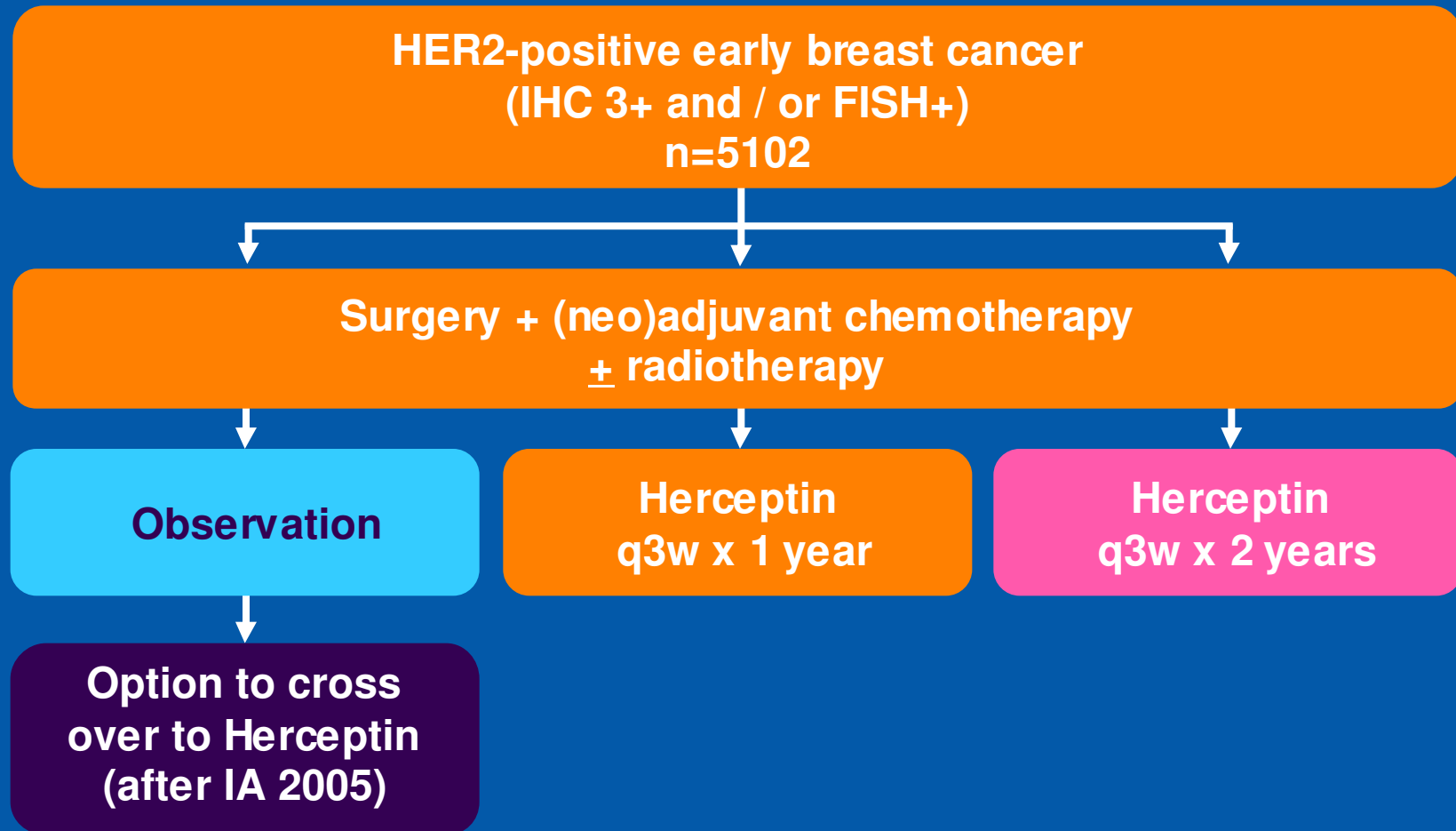
PROTOCOLO HERA

The fascinating history of Herceptin



HER2, human epidermal growth factor receptor 2; H, Herceptin; IA, interim analysis

HERA study design



IHC, immunohistochemistry; FISH, fluorescence *in situ* hybridisation

End points of the HERA trial

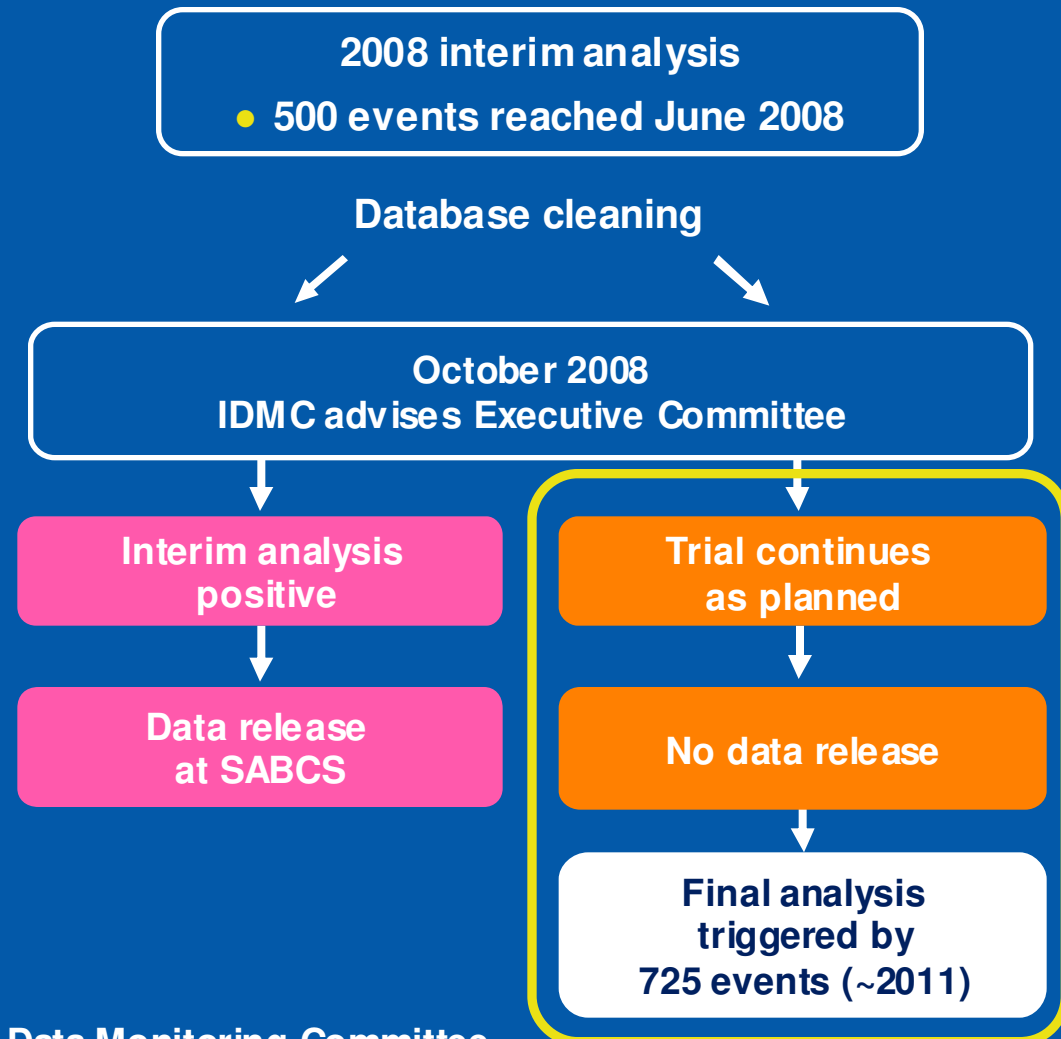
- **Primary end point**
 - **DFS**
 - 1-year Herceptin vs observation
 - 2-year Herceptin vs observation
- **Secondary end points**
 - **OS, RFS, distant DFS, safety**
 - 1-year Herceptin vs observation
 - 2-year Herceptin vs observation
 - **compare DFS, OS, RFS, distant DFS and safety**
 - 1-year Herceptin vs 2-year Herceptin

DFS, disease-free survival; OS, overall survival; RFS, relapse-free survival

HERA 2008 interim analysis: 2-year vs 1-year Herceptin

Statistical assumptions

- HR ≤ 0.80
- DFS absolute reduction 4.9%
 - 5-year DFS 1-year arm: 70%
 - 5-year DFS 2-year arm: 74.9%
- p value < 0.014 for early release of results



HR, hazard ratio; IDMC, Independent Data Monitoring Committee

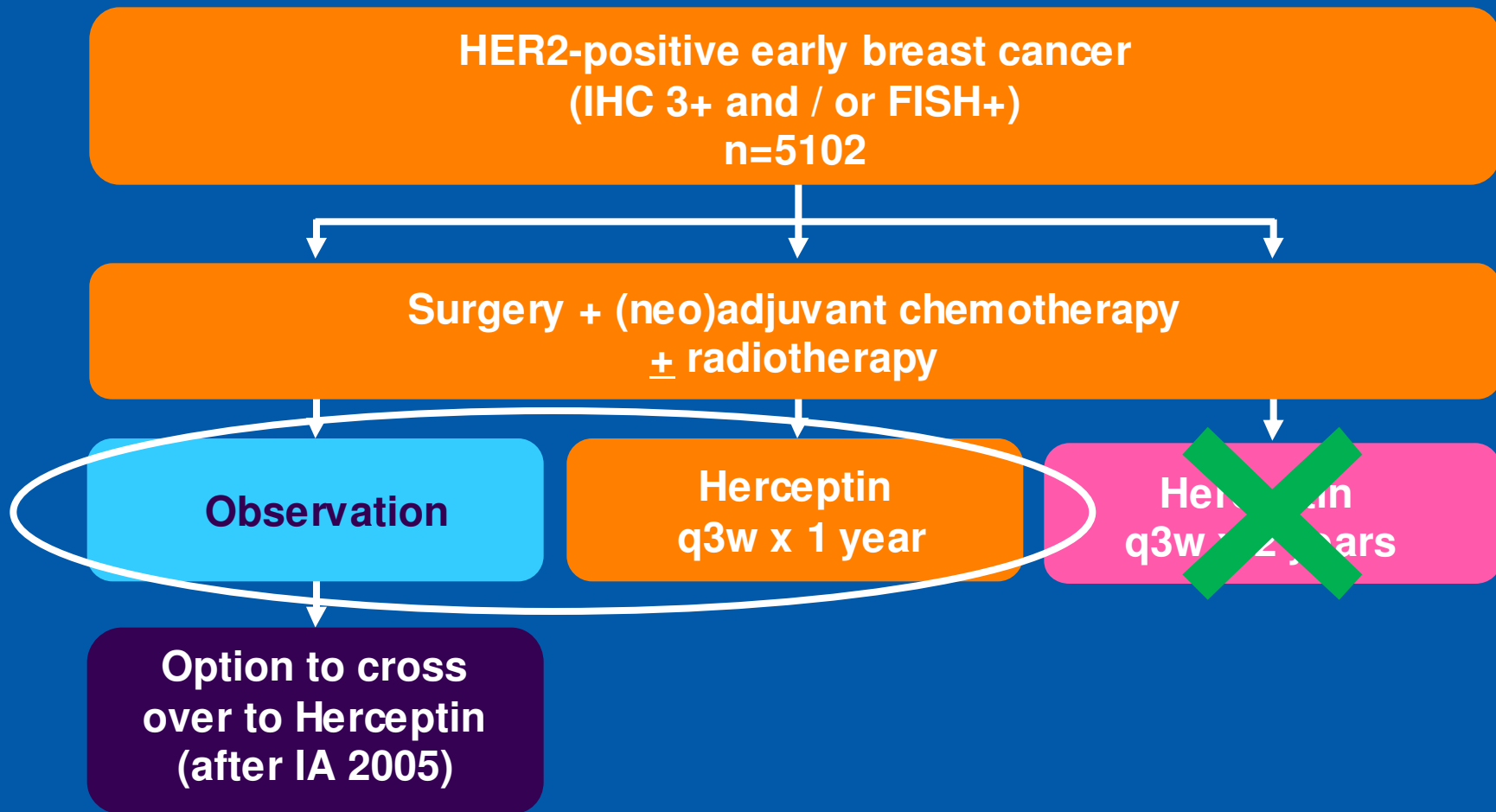
HERA: IDMC recommendations

October 2008

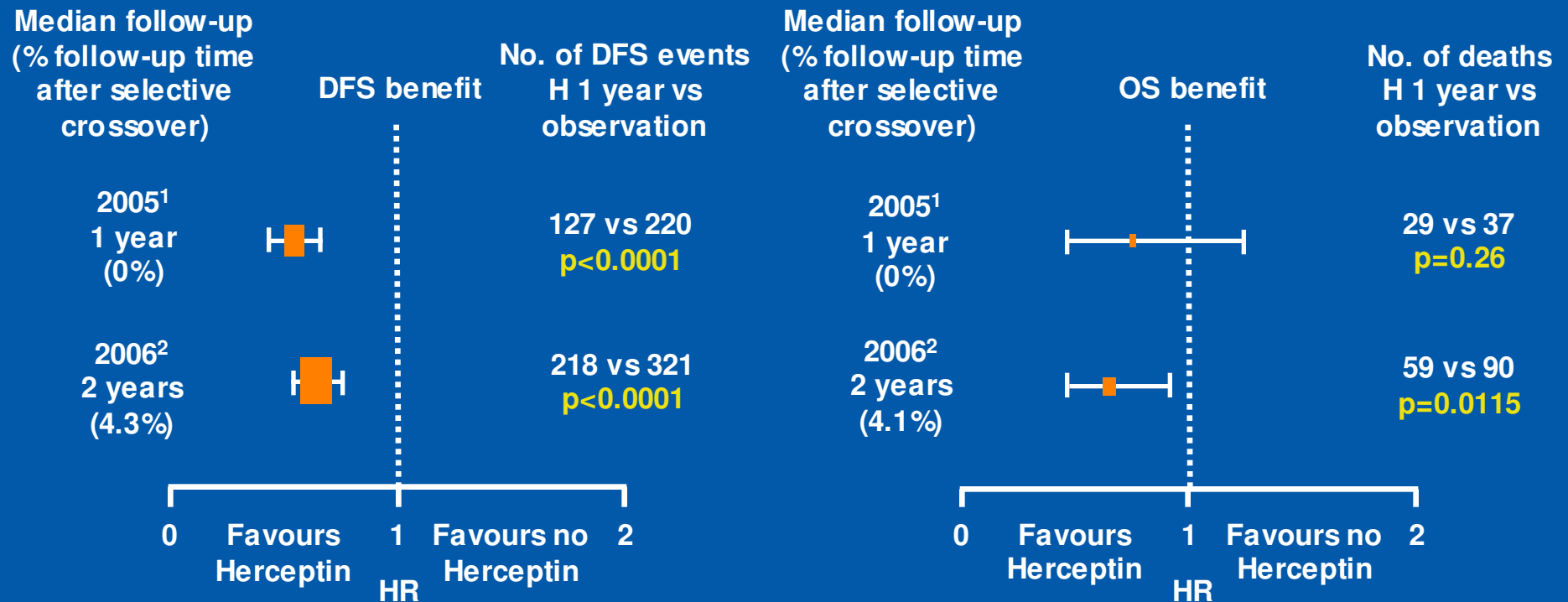
- Do not release information on the 2-year Herceptin arm
- Continue the 1-year Herceptin vs 2-year Herceptin comparison
- Release updated information on 1-year Herceptin vs observation

No conclusions can be drawn regarding the efficacy of Herceptin therapy for 2 years vs 1 year

HERA study design

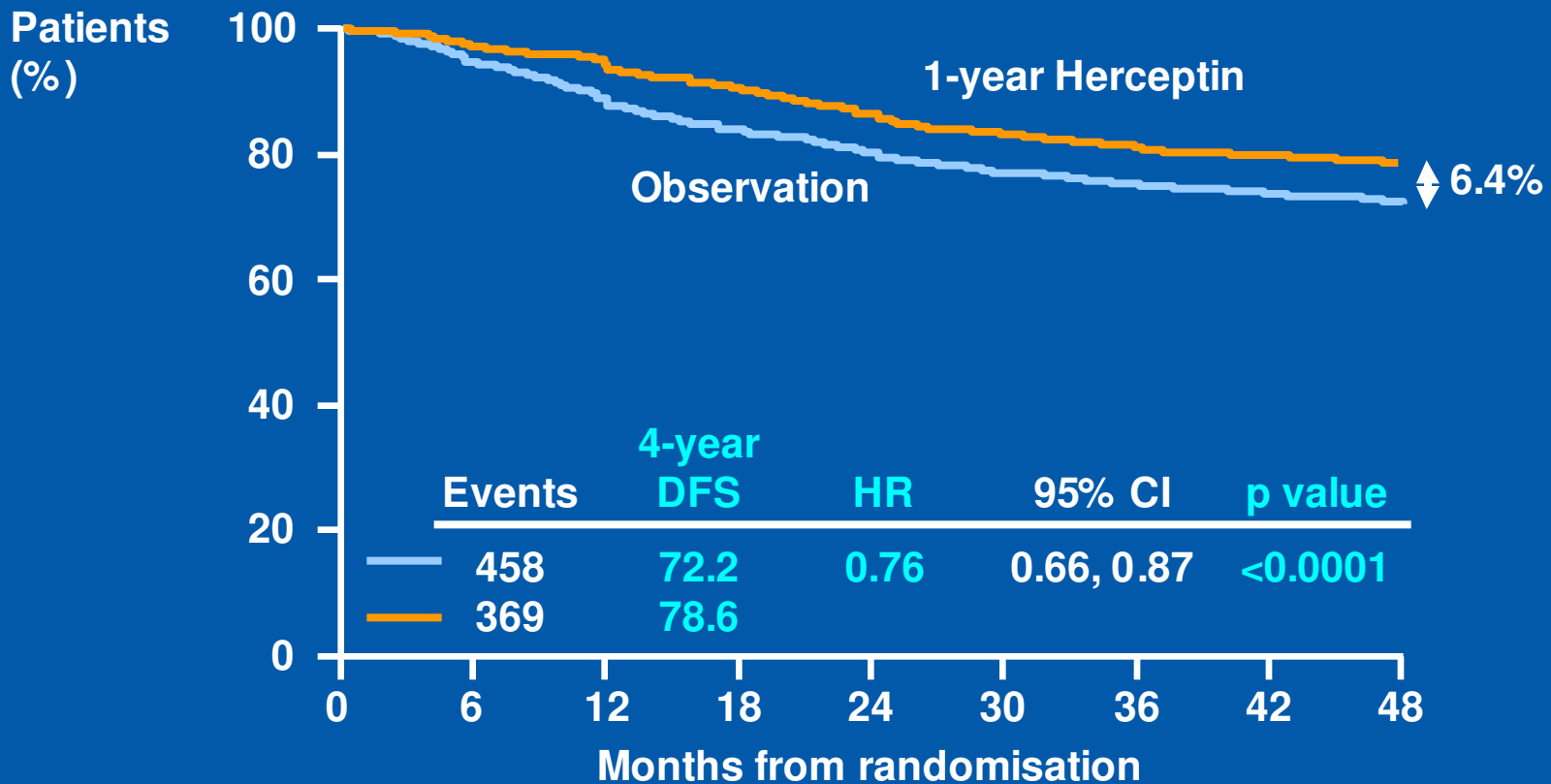


HERA: DFS and OS over time 1 and 2 years' follow-up



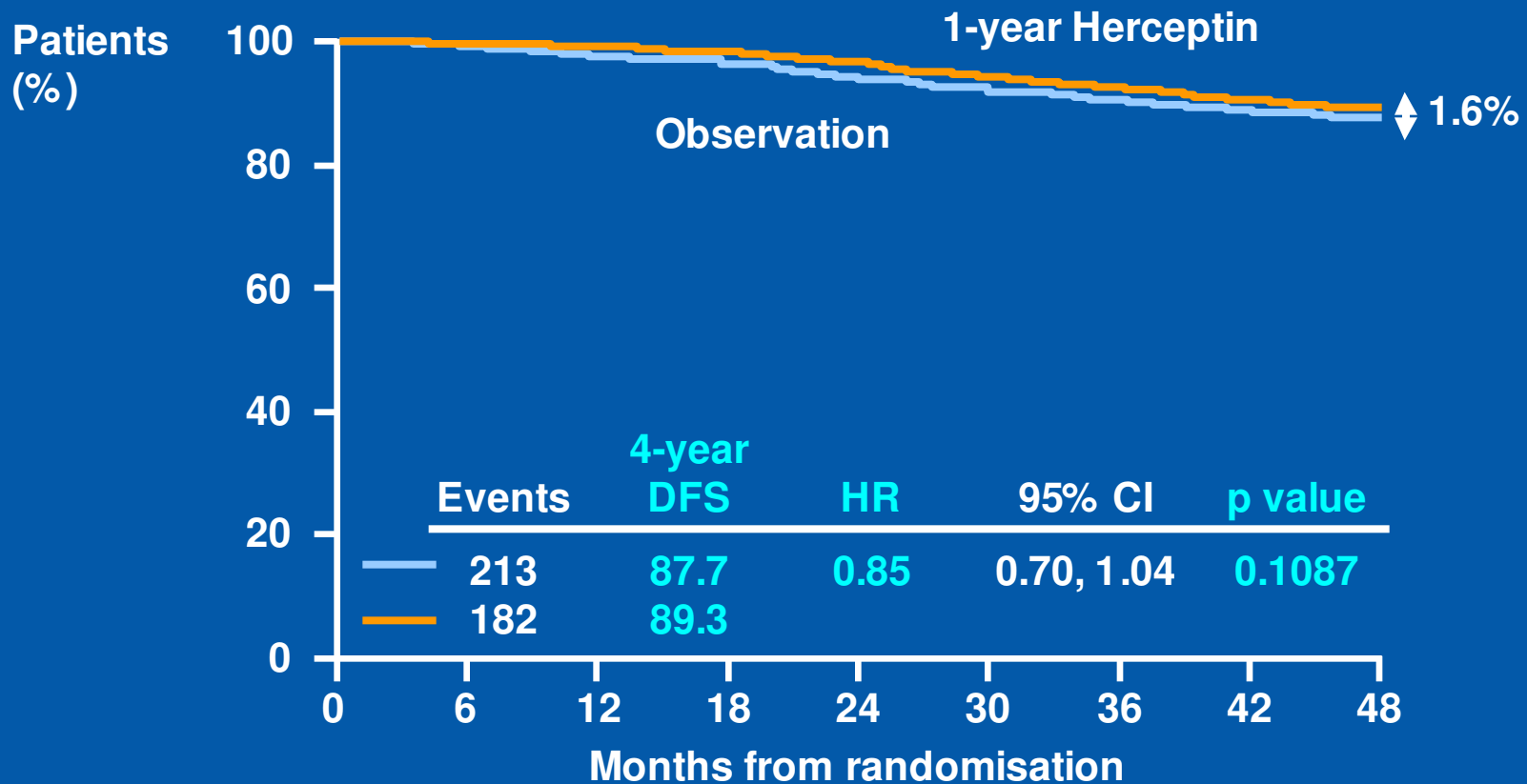
¹Piccart-Gebhart et al 2005;
²Smith et al 2007

DFS (ITT): 4-year median follow-up



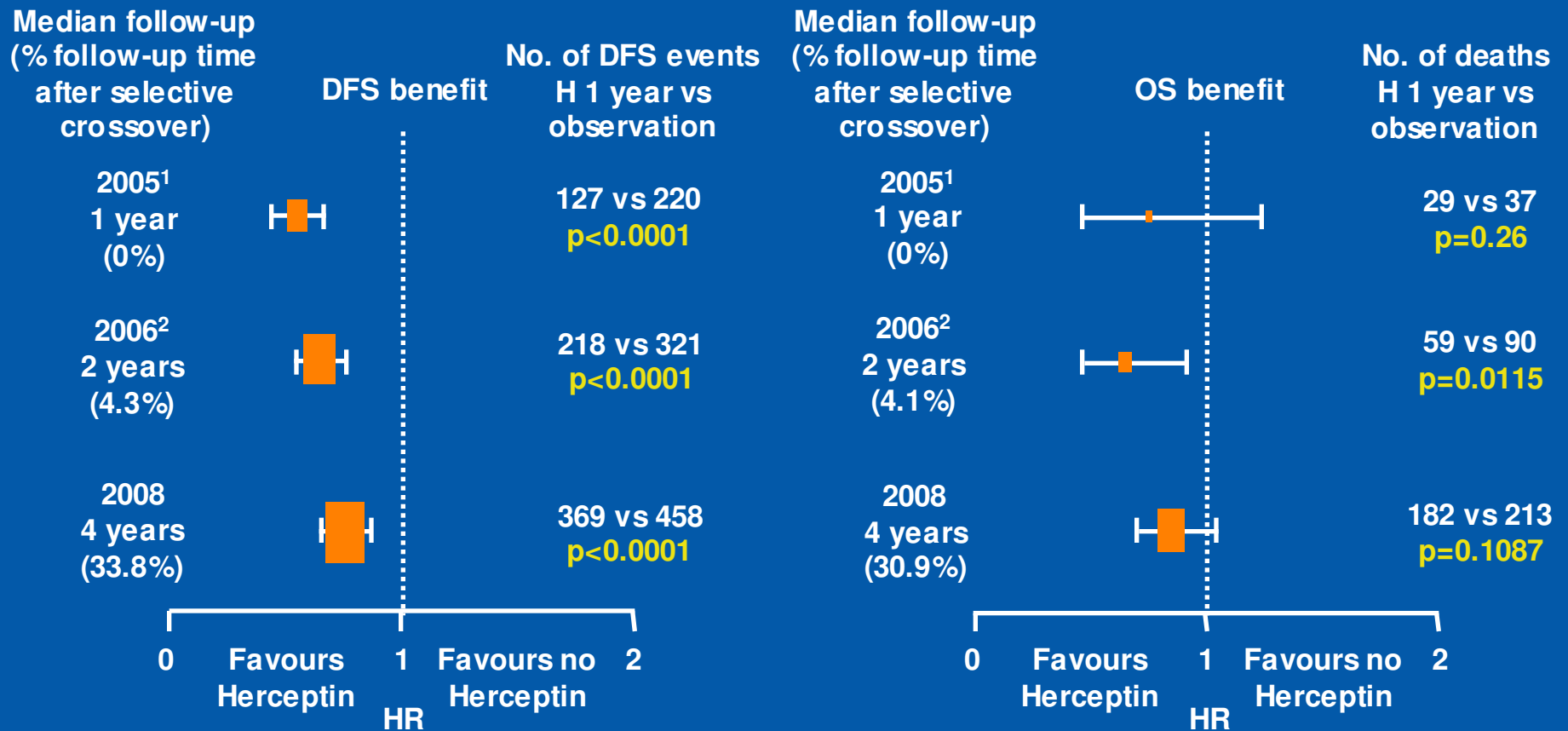
No. at risk	0	6	12	18	24	30	36	42	48
—	1698	1564	1440	1363	1297	1240	1180	992	712
—	1703	1619	1552	1485	1414	1352	1280	1020	854

OS (ITT): 4-year median follow-up



No. at risk	0	6	12	18	24	30	36	42	48
—	1698	1642	1601	1556	1519	1471	1398	1175	828
—	1703	1660	1640	1615	1577	1524	1447	1149	953

HERA: DFS and OS over time



¹Piccart-Gebhart et al 2005;

²Smith et al 2007

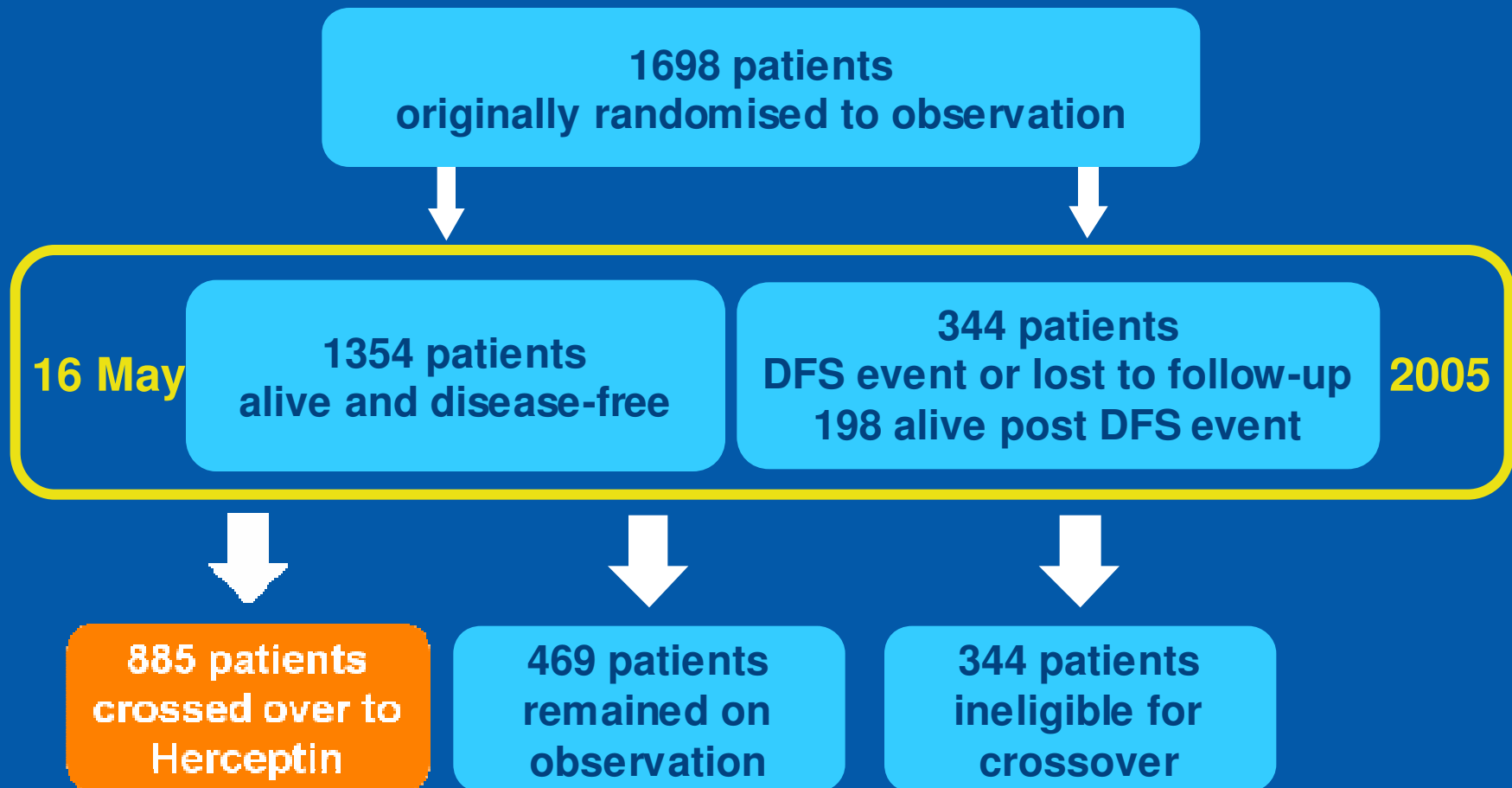
Specific question 1

- Crossover to Herceptin of 52% of the patients originally allocated to observation disrupted the randomised comparison between 1-year Herceptin and observation

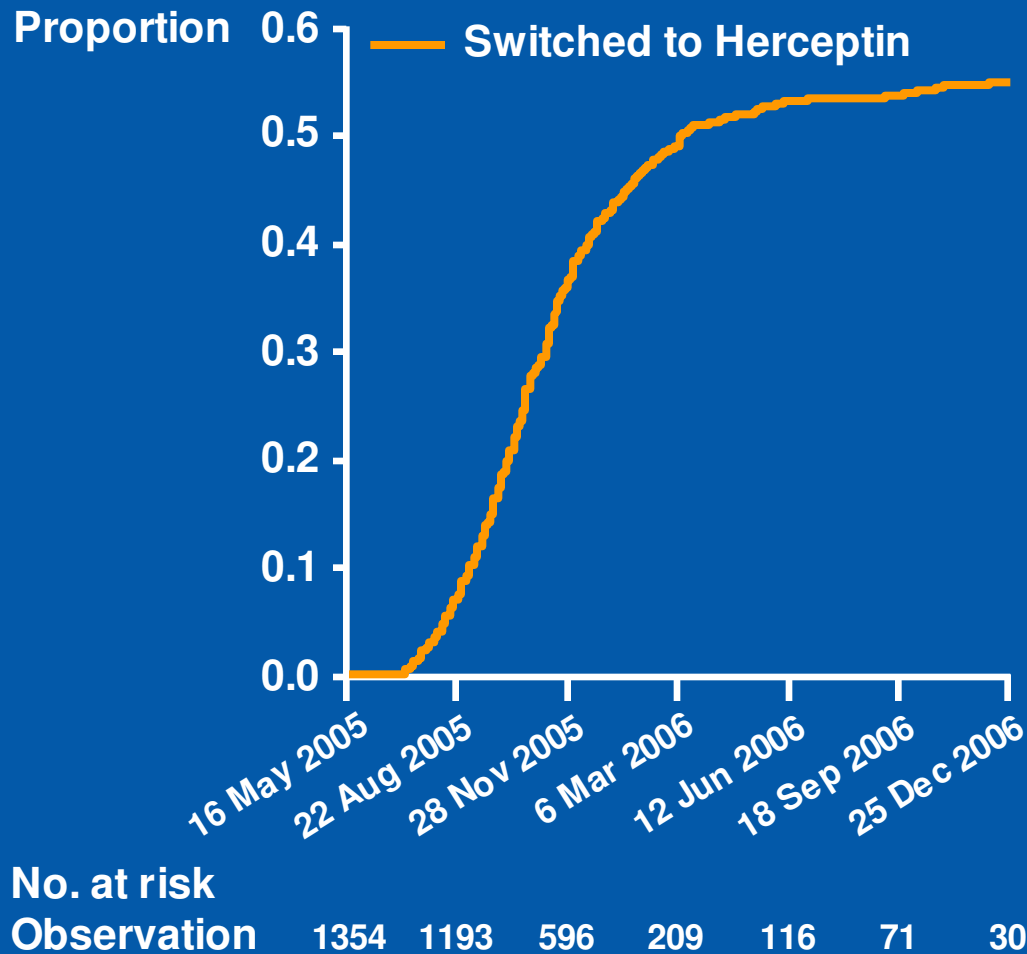
- *Question:*

To what extent might crossover have biased the ITT analysis?

Flow chart of observation patients: by status on 16 May 2005



Time to selective crossover by calendar date (n=885)



	Median time (range), months
Randomisation to 1st dose	22.8 (<1-52.7)
Diagnosis to 1st dose	30.9 (9.1-58.3)
Follow-up from 1st dose	29.1 (0.8-34.5)

Baseline characteristics of observation patients alive and disease free on 16 May 2005

- **Compared to patients who did not selectively cross over to Herceptin, those who did were more likely to:**
 - **be younger**
 - **have received anthracyclines and anthracyclines plus taxanes**
 - **be diagnosed with node-positive disease**
 - **have hormone receptor-positive tumours**

Specific question 2

- 885 of 1354 patients (65%) in the observation group who were alive and disease free on May 16 2005 crossed over and received Herceptin

- *Questions:*

What was the course of disease in the subgroups of observation patients who did or did not cross over to active therapy?

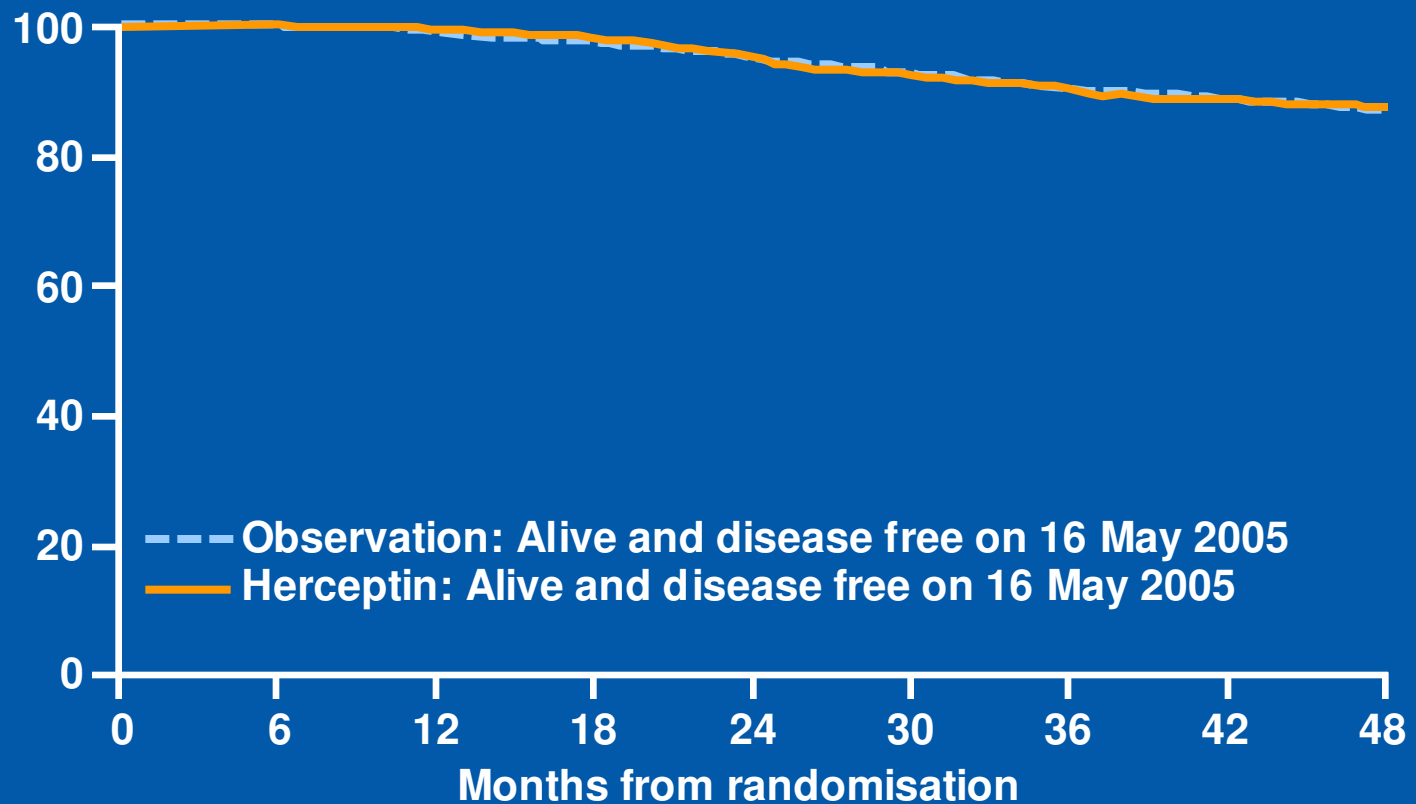
Is there any effect of the late introduction of Herceptin?

Landmark of 16 May 2005

- **The landmark analysis considers only patients who were alive and disease free on 16 May 2005**

DFS (landmark analysis): Herceptin vs observation

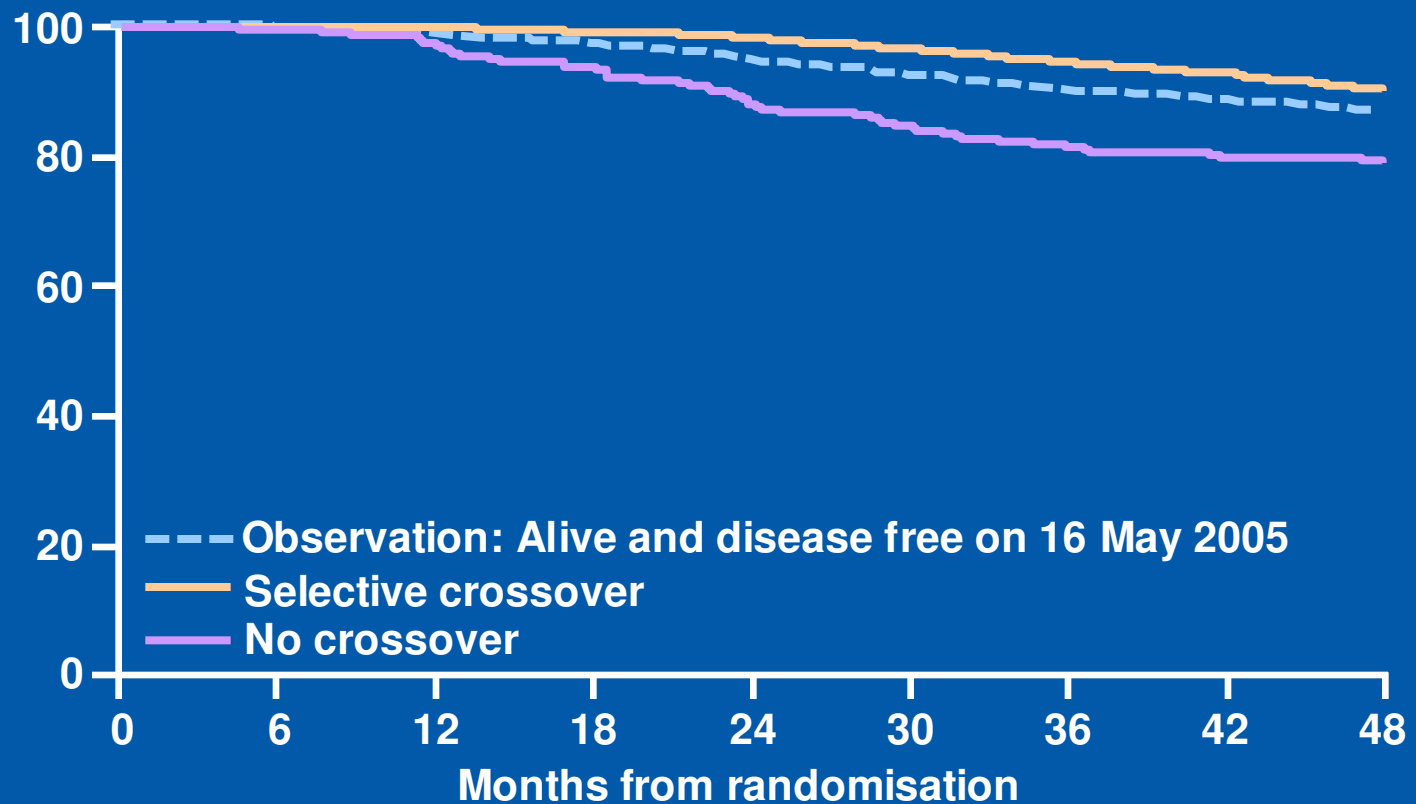
Patients
alive and
disease free
(%)



No. at risk	0	6	12	18	24	30	36	42	48
Observation: Alive and disease free on 16 May 2005	1354	1353	1339	1316	1278	1239	1180	992	712
Herceptin: Alive and disease free on 16 May 2005	1481	1480	1473	1447	1399	1351	1280	1020	854

DFS (landmark analysis): observation (alive, no DFS event), selective crossover and no crossover

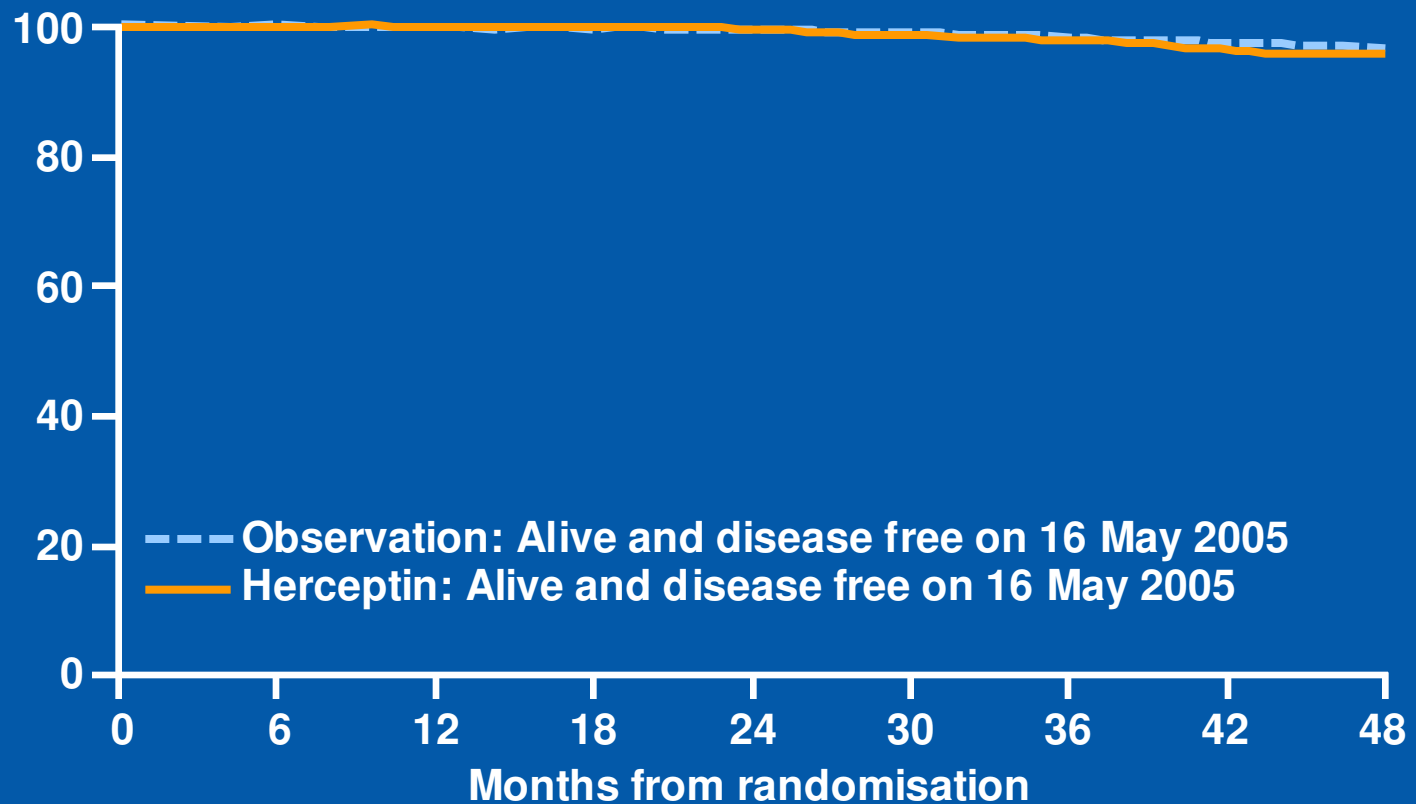
Patients
alive and
disease free
(%)



No. at risk	0	6	12	18	24	30	36	42	48
Observation: Alive and disease free on 16 May 2005	1354	1353	1339	1316	1278	1239	1180	992	712
Selective crossover	885	885	884	878	870	851	822	690	480
No crossover	469	468	455	438	408	388	358	302	232

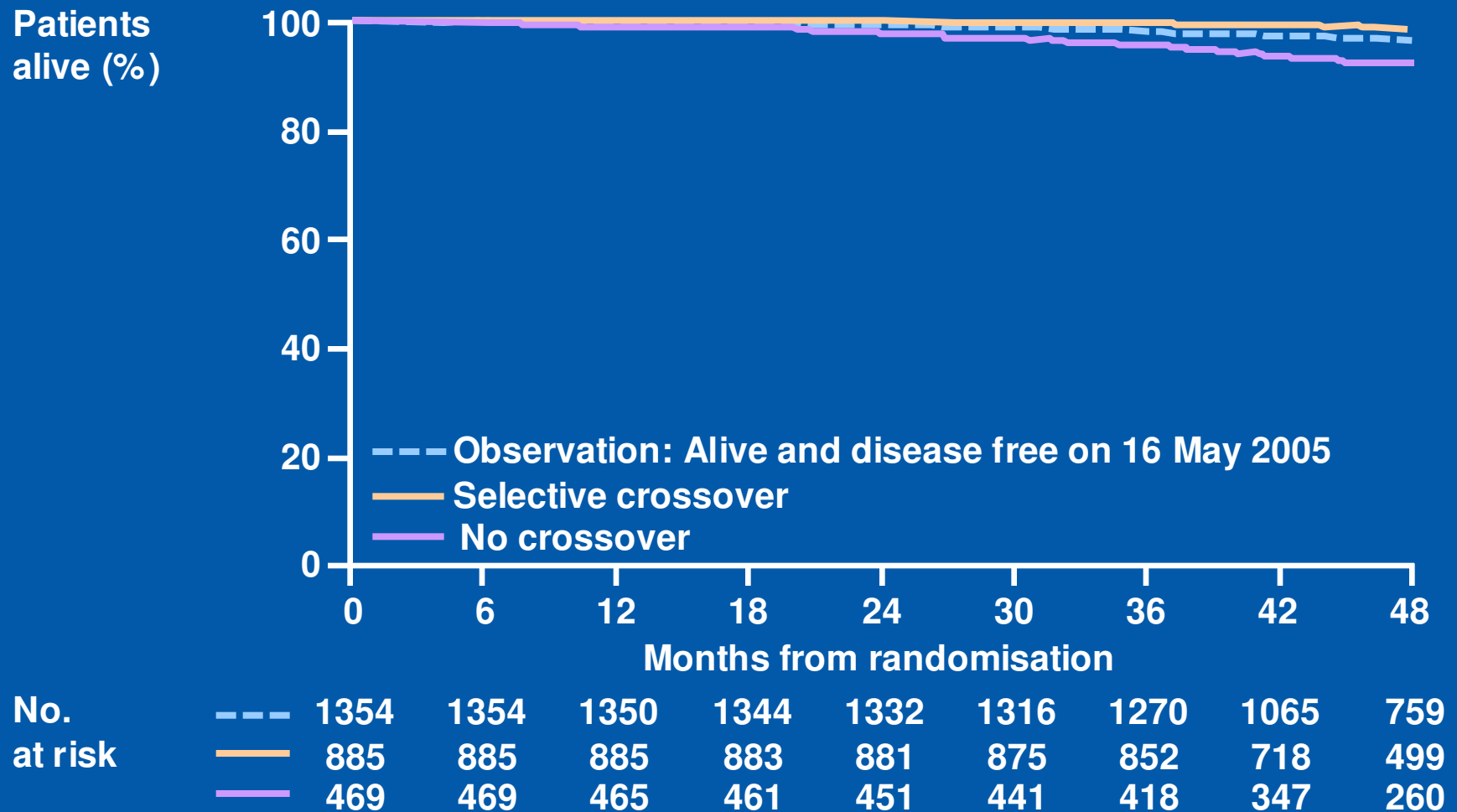
OS (landmark analysis): Herceptin vs observation

Patients
alive and
disease free
(%)



No. at risk	0	6	12	18	24	30	36	42	48
Observation: Alive and disease free on 16 May 2005	1354	1354	1350	1344	1332	1316	1270	1065	759
Herceptin: Alive and disease free on 16 May 2005	1481	1481	1481	1474	1461	1438	1378	1094	910

OS (landmark analysis): crossover vs no-crossover



Cardiac safety: safety analysis population^a

	No. patients (%)	
	Observation ^a n=1719	1-year Herceptin n=1682
Cardiac death	1 (0.1)	0 (0.0)
Severe CHF (NYHA III and IV)	0 (0.0)	13 (0.8)
Symptomatic CHF (II, III and IV)	3 (0.2)	33 (2.0)
Confirmed significant LVEF drop	13 (0.8)	62 (3.7)
Herceptin discontinued due to cardiac problems		87 (5.2)

^aPatients who crossed over are censored from the date of starting Herceptin treatment

CHF, congestive heart failure; NYHA, New York Heart Association;
LVEF, left ventricular ejection fraction

Cardiac safety: observation group

	No crossover after 16 May 05 n=469	Crossover n=885
Cardiac death	0 (0.0)	0 (0.0)
Severe CHF (NYHA III and IV)	0 (0.0)	0 (0.0)
Symptomatic CHF (II, III and IV)	1 (0.2)	9 (1.0)
Confirmed significant LVEF drop	5 ^a (1.1)	26 (2.9)
Herceptin discontinued due to cardiac problems		43 (4.9)

^aFor 3 of the patients, the LVEF drop occurred between 16 May 05 and the date of the patient decision and may have influenced the patient decision

HERA 4-year follow-up data: summary (1)

- **The updated analysis at 4 years was limited to 1-year Herceptin vs observation as recommended by IDMC**
- **Extensive selective crossover of observation patients to active therapy biased the ITT comparison**
- **Landmark analysis of observation patients who were disease free on 16 May 2005 explored the effects of later introduction of Herceptin**
- **Lack of randomisation limits the interpretation of the landmark analysis**
 - **different outcome due to drug effect or patient characteristics?**

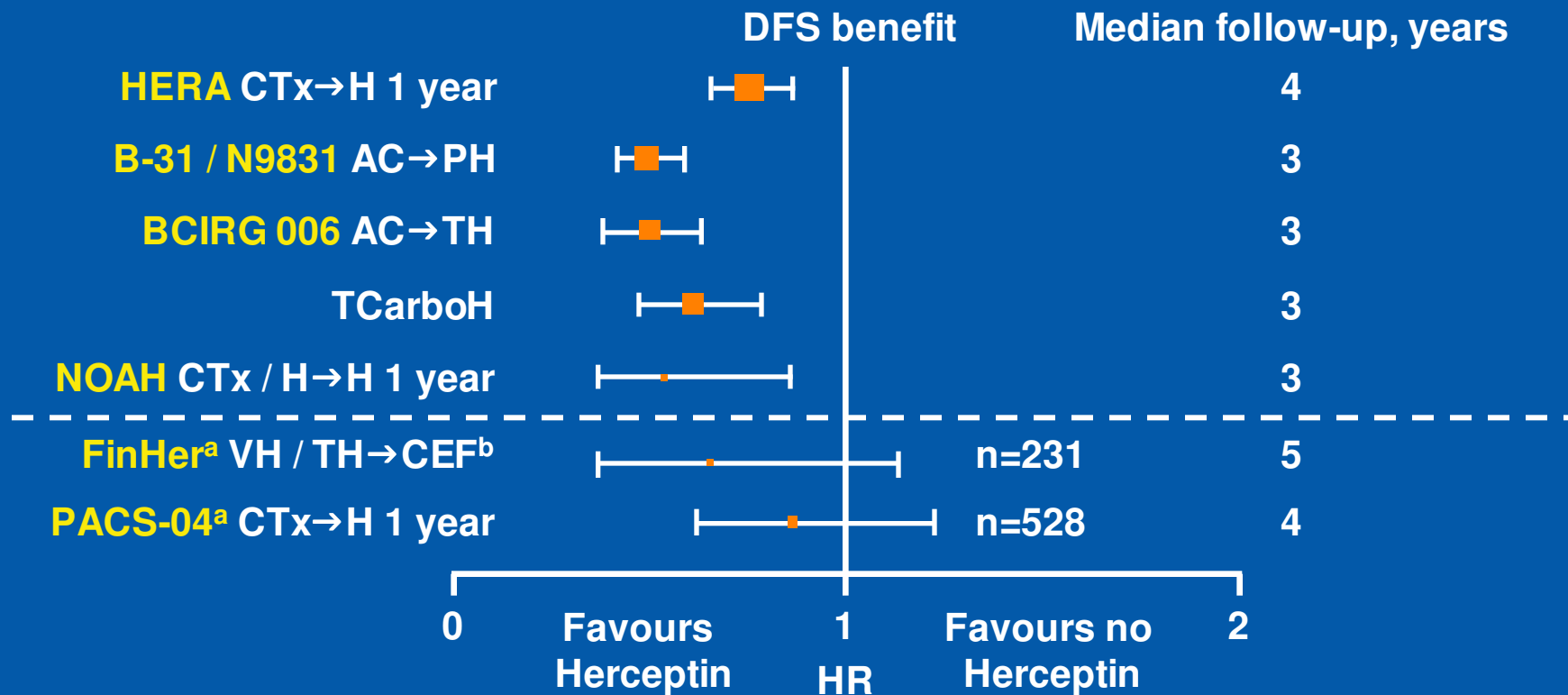
HERA 4-year follow-up data: summary (2)

- **In HERA, the DFS benefit associated with Herceptin is maintained at 4-year median follow-up**
- **50% of patients in the observation arm crossed over to Herceptin treatment, therefore the OS benefit is no longer statistically significant**
- **Patients crossing over at a later date appear to benefit from 1 year of Herceptin**

HERA: conclusions and next steps

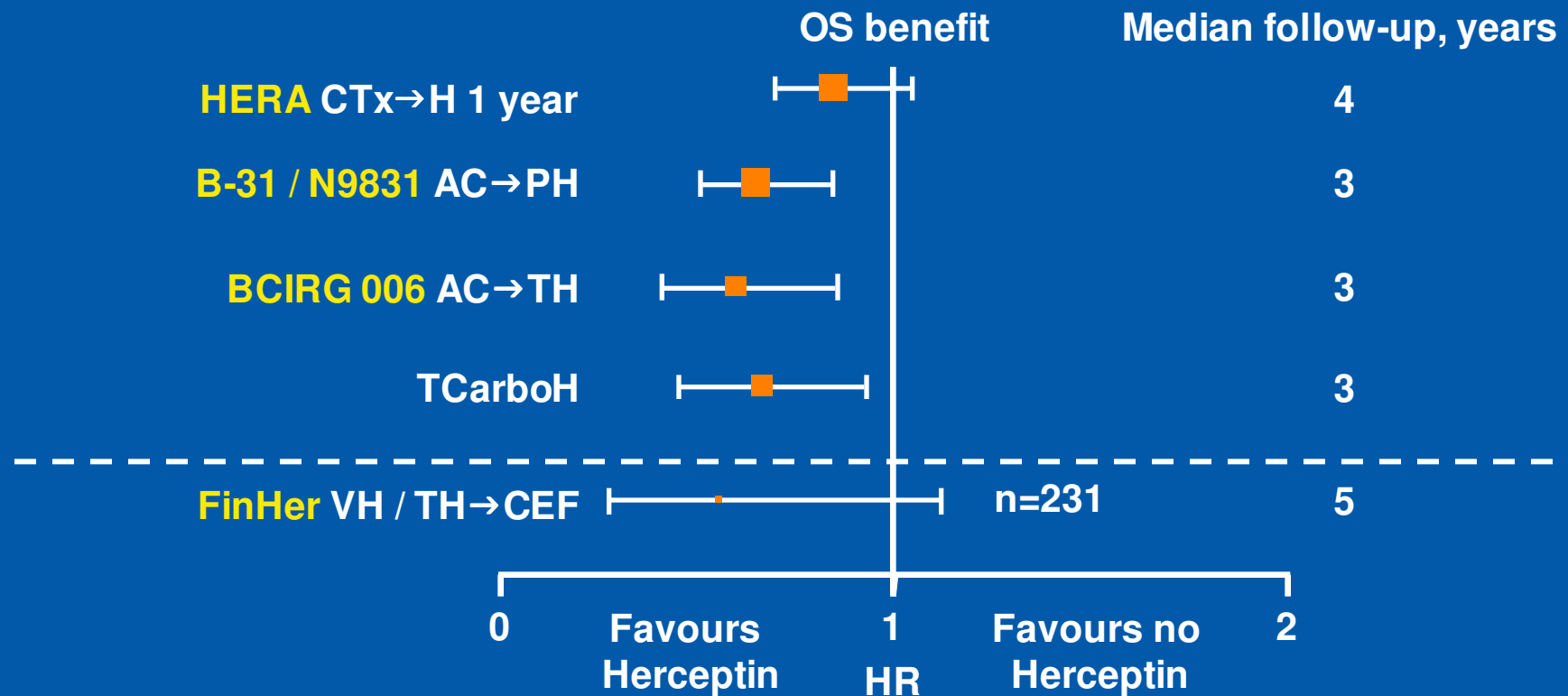
- **4-year follow-up data support the hypothesis that the risk of relapse in HER2-positive early breast cancer persists over time**
- **Prolonged exposure to the Herceptin antibody may improve efficacy**
- **This is being tested in the comparison of the 1-year and 2-year groups in the HERA study**

Additional studies demonstrate consistent DFS benefit for Herceptin



^aBased on small subgroups of patients with HER2-positive breast cancer; Gianni et al 2008;
^bDDFS; CTx, chemotherapy; AC, doxorubicin, cyclophosphamide; Gianni et al 2009; Joensuu et al 2009;
 P, paclitaxel; T, docetaxel; Carbo, carboplatin; V, vinorelbine; Slamon et al 2006; Perez et al 2007;
 CEF, cyclophosphamide, epirubicin, 5-fluorouracil Smith et al 2007; Spielmann et al 2007

1-year Herceptin treatment consistently reduces the risk of death by one-third

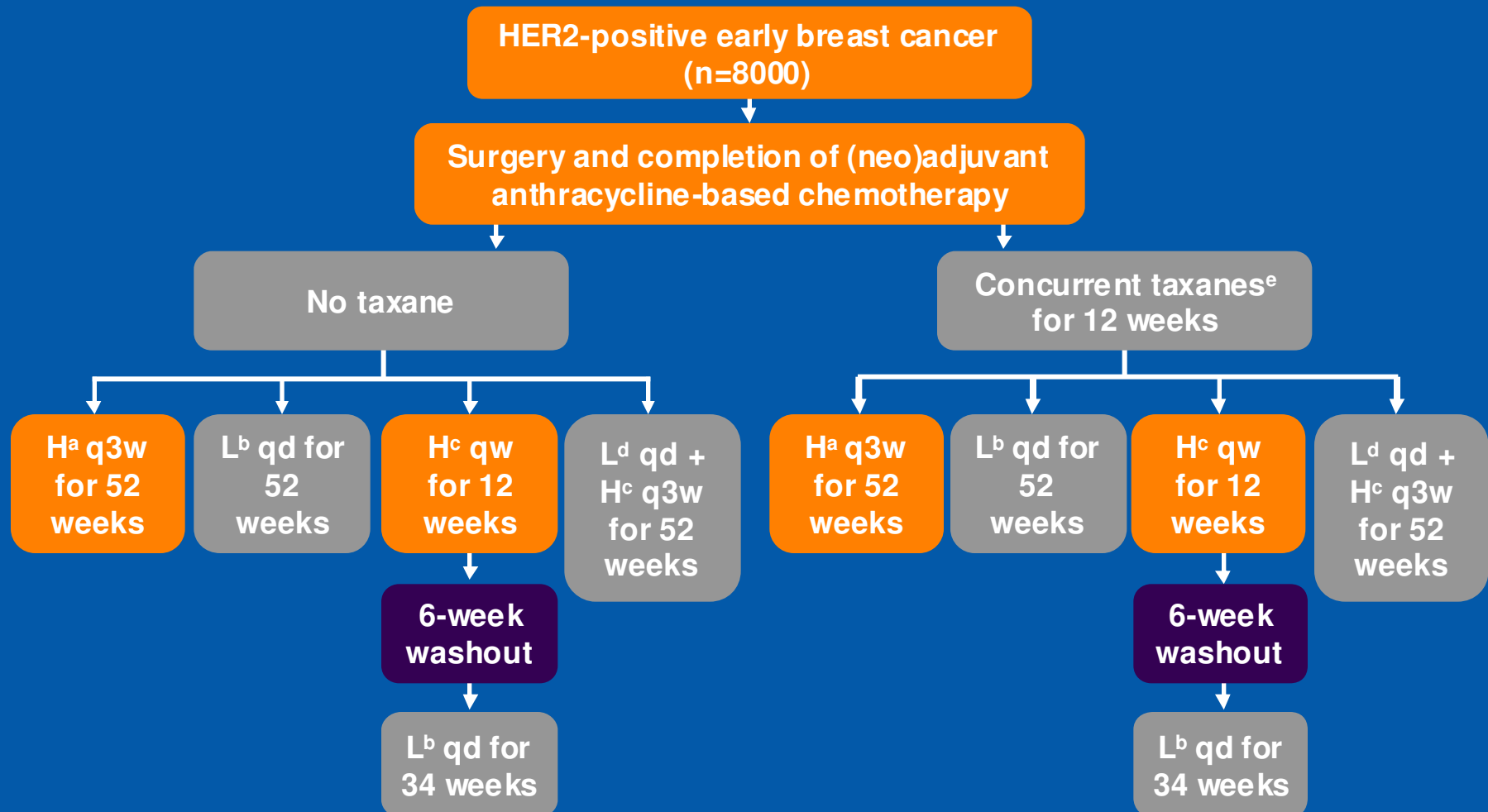


Gianni et al 2009;
 Joensuu et al 2009; Slamon et al 2006;
 Perez et al 2007; Smith et al 2007

HER2-positive breast cancer: outstanding questions

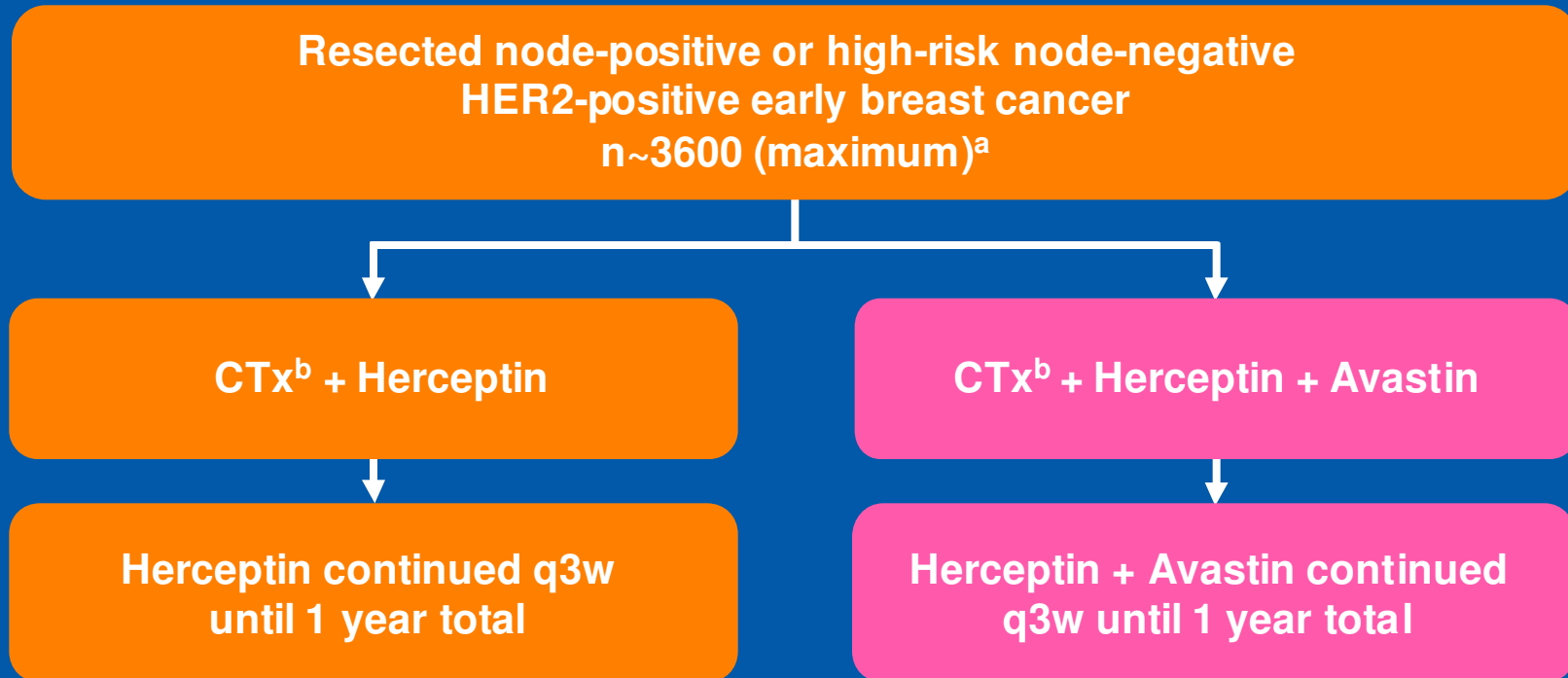
- Concurrent or sequential Herceptin therapy?
- Herceptin efficacy in lower-risk patients?
- Optimal treatment duration?
- Translational research?
- New combinations?
 - ALTTO (Herceptin + lapatinib)
 - BETH (Herceptin + Avastin)

ALTTO: Phase III randomised open-label trial comparing adjuvant lapatinib +/- Herceptin



^aHerceptin 8 mg/kg iv loading dose followed by 6 mg/kg q3w; ^bLapatinib 1500 mg; ^cHerceptin 4 mg/kg iv loading dose followed by 2 mg/kg qw; ^dLapatinib 1000 mg; ^ePaclitaxel 80 mg/m² qw or docetaxel q3w

BETH: Phase III randomised trial comparing Herceptin-containing adjuvant regimens +/- Avastin



Primary endpoint: DFS

Secondary endpoints: OS; RFS; distant recurrence-free interval; safety; biomarker analysis

^aActual recruitment to date ~300;

^bDocetaxel 75 mg/m² q3w + carboplatin AUC 6 q3w or docetaxel 100 mg/m² →FEC;
CTx, chemotherapy; q3w, three weekly

Conclusions

- **1 year of Herceptin remains the most appropriate and evidence-based approach for patients with HER2-positive early breast cancer**
- **Studies are ongoing to address optimal treatment duration**
- **Development of new anti-HER2 treatment regimens will lead to greater patient benefits**